# Subject: Where are you from? Fuyo Osawa: Hosei University

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- 1. Introduction: Two claims:
- ①First, the notion of subject is not universal; 'subject' is not necessarily a priori.
- ②Second, in English subject or rather syntactic subject-requirement emerged as the result of a functional category emergence of T Before this TP emergence, TP-related syntactic phenomena are not observed in earlier English.
- 2. Is subject universal?
- 2.1. Introduction

Keenan's (1976, 321) subject property list: three groups, semantic, morphological, and syntactic.

- 2.2. Is subject a semantic necessity?
- Subject is a semantic necessity, that is, subject is equated with the thematic role agent, or volitive actor, and is semantically necessary.
- In many sentences there is no agent, for example, *John is tall*.
- 2.3. Morphological definition
- subject-verb agreement
- In many languages verbs agree with no NP e.g. Chinese, Japanese and so on.
- In many languages verbs agree with NPs in addition to subjects, e.g. Basque, Hungarian, and Georgian, etc.
- In a few languages verbs may agree with objects.
- 2.4. Case marking
- the association of nominative case with subject
- nominative case marking is used for a complement of a copula verb : It is I.
- $\bullet$  multiple functions of nominative case marking (i.e. -ga) in Japanese: subject, possessor of a subject, adjunct, the object of a stative predicate (Vermeulen 2002):
- (1)possessor:

Usagi-ga/wa mimi-ga naga-i.

rabbit-Nom. ear-Nom long-Pres.

(Takahasi 1994: 395)

(2) adjunct:

Ano jiko-ga nihonjin-ga takusan sin-da

that accident -Nom. Japanese-Nom. many die-Past

'It was that accident in which many Japanese people died.' (Tateishi 1994: 21)

(3) Stative Construction:

John-ga nihongo-ga waka-ru.

John-Nom. Japanese-Nom. understand-Non-past.

'John understands Japanese.' (Takezawa: 1987: 24)

- No functional categories C and D, and T/I in Japanese (Fukui 1995)
- In the Minimalist approach, the relation between EPP and nominative case is obscured and EPP is dissociated from the notion of structural case and/or agreement (cf. Chomsky 1995: 282).
- (4) John-Nom. America-to to go For John to go to America

## 3. EPP puzzule

Despite the difficulty of defining subjecthood, in the clause structure subjects seem to have an outstanding position as compared with other arguments.

- the Extended Projection Principle (EPP): the requirement that clauses have a subject.
- The EPP is described as "mysterious" (Chomsky 2008: 156).
- Japanese has no EPP, no expletives
- (5) .a. Totemo samu-i-ne

Very cold –non.past-f.particle 'It is cold, isn't it?'

- b. Kinoo doko-e it-ta?
  - yesterday where-to go-past 'Where did you go yesterday?'
- The EPP should be removed from Universal Grammar (cf. Grohmann et al. 2000; Bever 2009).
- expletive subjects without semantic content
- (6) a. It is raining./ b. There lived a king in this castle.
- Chomsky (1995) EPP: a universally present strong D feature of T; Chomsky (2000, 2001): a feature requiring an overt element in the Spec position of a functional projection T.
- The EPP cannot be given a grammatical account and rather should be understood as part of a more general theory of learning (Bever 2009).

### 4. No EPP in Old English

- 4.1. Lexical-thematic Nature of Old English
- no functional categories such as DP, or TP, but only lexical categories (N, V, A, P or only content words) and their phrasal projections (NP, VP, AP, PP). See Abney (1987) and Radford (1990). Every constituent must be thematically related. This means that only arguments which are required by the meaning of a predicate must be syntactically realized (cf. Osawa 2003; 2007; 2009).
- a case system is thematically motivated: morphological case is assigned to thematically associated NPs only. (cf. Plank 1983: 255, Denison 1993: 18-19).
- 4.2. The absence of syntactic evidence for the presence of functional categories, esp. TP
- In PDE the presence of TP is responsible for many syntactic effects such as:
- i) Nominative case assignment / checking to the subject
- ii) do-support
- iii) other auxiliaries
- iv) separation of Tense, that is, TP is separated from VP.
- v) subject requirement or EPP
- •No auxiliaries in Old English
- (7) Canst bu temian hig (Ælfric's Colloquy 31/129) know you tame them 'Know you how to tame them?'

- (8) Ic ne secge
  I not say 'I do not say'
- 5. Impersonal constructions
- 5.1. Introduction
- (9) Siððan him hingrode (ÆlfricHom. I 166.12)

afterwards him (dative) hungered

'afterwards he hungered'

(10) norban sniwde

' it snowed from the north' (Seafarer 31)

- 5.2. What are impersonal constructions?
- There is no agreement between the predicate verb and nominal elements in number and person.
- reanalysis story: Non-subject NPs of impersonal constructions were reanalysed as subjects under the pressure of the fixed SVO word order (cf. van der Gaaf 1904; Jespersen 1927 Lightfoot (1979).
- you are son my love in whom I-nom. well liked 'You are my dear son whom I liked much.'

### 6 My proposal

- I claim that in impersonal constructions, sentences subjects simply did not exist in a given argument structure from the beginning. In (10), this clause structure is normal, self-sufficient structure, in which all necessary items are provided to convey the meaning. This also explains the origin of the impersonal constructions in which subjects are not expressed.
- semantics of impersonal verbs: the impersonal construction expresses a situation in which a human being if any is unvolitionally/unself-controllably involved. As McCawley (1976) suggests, That is to say, there is no agent who is to receive the nominative case, if we assume that there is motivated relation between thematic roles of arguments and their morphological case.
- Weather verbs like *snow*, or *rain*, etc. are the most typical examples.
- (12) i. non-intentional sensory and mental expressions

byncan 'seem', mætan 'dream'

ii. emotional experiences

eglian 'be in trouble', hreowan 'feel sorrow'

iii. physical and biological experiences

hyngrian 'be hungry', byrstan 'be thirsty'

iv. need/duty/obligation

neden 'be necessary'

v. (inalienable) possession/existence

lakken 'be wanting'

vi. happenstance

gebyrian 'happen'

(McCawley 1976, 194)

● The requirement that all clauses should have a subject is a later development, due to the emergent TP, or due to the EPP-feature (cf. Chomsky 2005, 2008).

- (13) He was given presents.
- (14) him næs getiðod ðære lytlan lisse

him-Dat. not was granted that-Gen. small favour-Gen.

'he was not granted that small favour' (ÆCHom. I.23.330.29, from Thorpe (1844))

- In PDE, there is no motivated relation between the thematic roles of arguments and their (argument) case.
- (15) *Mary* killed John.
- (16) The ball rolled under the desk.
- (17) Helen suffered a stroke.
- (18) I believed him to have stolen my money.
- 7 Unaccusativity and Impersonal constructions
- The Unaccusative Hypothesis (Perlmutter 1978): there are two classes of intransitive verbs, i.e. the unaccusative verbs and the unergative verbs, depending on different underlying configurations.
- (19) Unergative verb  $[P NP I [VP [V] V_{\_}]]$  : walk, run,
- (20) Unaccusative verb  $\begin{bmatrix} IP & IVP & VNP \end{bmatrix}$ : arrive, break, fall.
- ●.Burzio's Generalization (1986: 178): Only verbs which assign subject theta-role can assign accusative case to an object (1986: 178).' A verb which fails to assign Accusative case fails to theta-mark an external argument (Burzio 1986: 184).
- (23) Longað hine hearde (ASPoeticR 271) desires-3sg him-Accusative grievously

'He feels discontent'.

The class "Unaccusative" verb is not necessary in the early stage of English.

The Unaccusative Hypothesis presupposes the suppression of an external argument. The subject of unaccusatives lacks agentivity. The deep object or expletive *there* can move into the empty subject position. However, in OE 'unaccusative' verbs did not occur in expletive *there* constructions (cf. Breivik 1983). If a verb lacks agentivity semantically, this situation was better expressed by subjectless impersonal constructions. Indeed, many of PDE unaccusative verbs/predicates were impersonal predicates in OE: *gelimpan* 'happen', *pyncan* 'seem', *god beon* 'be good', etc.

(24)	Geare	we	witon	þæt	on	þæm	geare	bið		
	year	we	know	that	in	that	year	are		
	þreo	hund	daga	&	fif	&	syxtig	daga		
	three	hundred days		and	five	and	sixty	days	(BlHom	35: 21-2)

<sup>&</sup>quot;We know well that in the year are 365 days (literal translation)"

- 8. Discussion: historical facts and their implication
- The reason the clause requires subject is reduced to the EPP-feature which is in T.
- (25)him belimpe se egeslica bæt ne cwyde that them not apply that terrible saying Nominative dative.pl.

'that that terrible saying does not apply to htem'

(ÆHom II. 536.6, from Ohkado 1998: 69)

Even in PDE, there is a question of why transitive expletive constructions are not allowed:

- (26) a. A man appeared.
  - b. There appeared a man.
- (27) a. Someone ate apples.
  - b. \*There someone ate apples.
- Pāṇini's Kāraka theory,
- Subject, where are you from?

Drawing on Pāṇini's Kāraka theory, I claim that the nominative marked NP was originally something like adjunct outside the projection of V, although tentatively.

- ●In Sanskrit, which is hypothesized to be another completely lexical-thematic language on my hypothesis, there were strong correlations between morphological case and semantic roles of NPs which were called *karaka*.
- The *karakas*: semantically defined notions or deep cases in Fillmore's (1968) sense, or rather close to the thematic roles.
- six or seven *karakas*: they are semantically defined as *kartr* (agent), *karma* (the target of the action), *karana* (the means), *sampradana* (the recipient, etc.), *adhikarana* (the locus of the action), *apadana* (the starting point) and *hetu* (the causative agent).
- These *karakas* are morphologically realized as *vibhakti* (case forms). *vibhakti*:, *karma* by *dvitiya* (accusative), *karana* by *trtiya* (instrumental), *sampradana* by *caturthi* (dative) *adhikarana* by *saptami* (locative), *apadana* by *pancami* (ablative).
- The *kartr* (agent) is primarily represented by the instrumental case *trtiya*.
- Nominative case in Sanskrit, i.e. *prathama*, is the unmarked, neutral case, which is not related to any particular *karaka* (thematic role). The nominative-marked NP is outside the projection of V.

In Sanskrit clauses, the most closely related *karaka* to a predicate verb is usually not expressed explicitly. This *karaka* is the most closely related participant in the action or event described by a predicate verb. This primary *karaka* does not need to be expressed as the NP argument, since it is already expressed by the affix attached to the verb. See Thieme (1956, 2).

(28) (devadattah) odanam pac-a-ti

(Devadattah (Nom.)) ricegruel (Acc.) cooks (active)

(The parenthesis means this is an optional element.)

### 10. Concluding remarks

Old English is different from Sanskrit, and much younger language: it is very difficult to prove that Old English is a purely, lexical-thematic language, still, its lexical-thematic nature is well attested in the limited development of functional categories. The EPP in PDE appeared due to the emergent TP in English. Before the emergence of TP, they are not observed in languages. Thus, the subject phenomenon is a good example of parameterized functional category systems and the resultant language variation.

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